U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER 33082.224

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (if known, see 37 CFR (1) 7 5 1 1 1 (

INTERNATIONAL APPLICATION NO. PCT/JP02/138548		INTERNATIONAL FILING DATE  December 27, 2002	PRIORITY DATE CLAIMED  April 26, 2002			
TITLE OF INVENTION	SUBSTRA	AATE PROCESSING APPARATUS				
APPLICANT(S) FOR DO/EO/US	Hiroichi In	ada, et al.				

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. 
  ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l).
- 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  $\square$  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. has been transmitted by the International Bureau (see Form PCT/IB/308)
  - c. D is not required, as the application was filed in the United States Receiving Office (RO/US).
- A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. Amendments to the claims of the International Application under PCT Article 34 (35 U.S.C. 371).
  - a. 

    are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  $\square$  have been transmitted by the International Bureau.
  - c. □have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  $\square$  have not been made and will not be made.
- 8. A translation of the amendments to the claims under PCT Article 34 (35 U.S.C. 371).
- 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 34 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern other document(s) or information included:

- 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. 

  A FIRST preliminary amendment.
  - ☐ A SECOND or SUBSEQUENT preliminary amendment.
- 14. 

  A substitute specification.
- 15. 

  A change of power of attorney and/or address letter.
- 16. ■Other items or information:
- a. Cover Sheet of PCT Publication WO 03/092055 with International Search Report (PCT/ISA/210)
- b. Form PCT/IPEA/409 and 416 (in Japanese)
- c. Form PCT/IPEA/401 (in Japanese)
- d. Forms PCT/IB/301, PCT/IB/304 and PCT/IB/308
- e. Translation of Claims after First and Second Amendment

CUSTOMER NO. 00441

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TRA I C	U.S. APPLICATION NO. (if known, see 37 CFR 1.5) / 511104						
17. ☐ The following fees a	CALCULATIONS	PTO USE ONLY					
Basic National Fee (3' Search Report has been							
International preliminal (37 CFR 1.482)							
No international prelim paid to USPTO (37 CF							
Neither international pr international search fee							
International preliminar and all claims satisfied							
	\$950.00						
Surcharge of \$130.00 for fur claimed priority date (37 CF	\$						
Claims	Number Filed	Number Extra	Rate				
Total Claims	18 - 20 =	0	x \$18.00	\$			
Independent Claims	2-3=	0	x \$84.00	\$			
Multiple dependent claim(s)	\$						
**************************************	\$ 950						
Reduction by 2 for filing by 37 CFR 1.9, 1.27, 1.28).	\$						
	\$950						
Processing fee of \$130.00 for claimed priority date (37 CF							
Fee for recording the enclose appropriate cover sheet (37 G	\$ 40.00						
TOTAL FEES ENCLOSE	\$990.00						
				Amount to be refunded	\$		
				charged	\$		
a. ■ A check in the amount of \$990.00 to cover the above fees is enclosed.							
b.   Please charge my Deposit Account No in the amount of \$ to cover the above fees.							
<ul> <li>The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4300</u>.</li> </ul>							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO: SMITH, GAMBRELL & RUSSELL, LLP							

1850 M Street, N.W., Suite 800 Washington, D.C. 20036

Telephone: (202) 659-2811 Facsimile: (202) 263-4329

SIGNATURE

Michael A. Makuch, Reg. No. 32,263 NAME REGISTRATION NO.

Date: October 14, 2004